

Product/Strategy General Information - Adasina Social Justice All Cap Global ETF

INVESTMENT OVERVIEW

Product/Strategy A Name:

2.1 Please provide basic product/strategy information below.

a. Product/Strategy Organization:

b. Unique Identifier:

c. Primary Market:

2.2 Briefly describe your investment philosophy for this product/strategy.

2.3 What percentage of voting members of the Investment Committee and/or portfolio management team self-identify as women, trans, and/or gender non-conforming? Black, Indigenous, and people of color (BIPOC)?

Team:	
Number of Members:	
Role:	
Women, Trans, and/or Gender Non-Conforming:	
BIPOC:	

2.4 Please describe your investment product/strategy team's personnel as well as specific roles, responsibilities, and past relevant experience of each team member.

2.5 Please describe your investment selection process; at a minimum, outline the universe of investments from which selections are made, how the universe is narrowed, the criteria used for inclusion or exclusion, how many investments are followed closely, who is involved in each step, and who makes the final decision. If applicable, include parameters for sector/industry, region/country, state/municipality, and position sizing. Include constraints on minimum/maximum percentage allocations (or overweights or underweights versus the most appropriate index).

2.6 Please describe your procedures as it relates to reconciliation of portfolio transactions, holdings, cash levels, and third-party performance calculations. Please note the frequency of and the systems in place to complete such reconciliations.

2.7 For each investment strategy, please provide the following:

a. Standard Fee Schedule:

b. Inception Date:

c. Assets Under Management/Advisement (AUM/AUA):

d. Expected AUM in 12 months. How will you get there?

e. Product/Strategy Performance:
(if limited track record, feel free to include other relevant data)

f. Benchmark:

i. Please provide your strategy benchmark and its performance over the same period of time as provided for the strategy above.

ii. Please explain why you chose this benchmark for your strategy.

iii. Describe how your strategy seeks to add value relative to the chosen benchmark.

g. Tracking error relative to benchmark.

- h. Relevant key portfolio statistics (e.g., geographic targets, price-to-book, price-to-earnings, weighted average market capitalization, tracking error, sector allocations, yield measures, credit quality, maturity, duration). Please describe targets (including allowable ranges or limits) and current metrics.

- 2.8 Describe your trading process, what aspects do you feel are unique? Address any liquidity issues associated with this strategy.

- 2.9 Do you employ any hedging, margin, or leverage strategies? If so, please describe instruments utilized.
